

## General information about company

Scrip code	541133
NSE Symbol	
MSEI Symbol	
ISIN	INE758W01019
Name of the entity	APEX CAPITAL AND FINANCE LIMITED
Date of start of financial year	01-04-2023
Date of end of financial year	31-03-2024
Reporting Quarter	Quarterly
Date of Report	31-12-2023
Risk management committee	Not Applicable
Market Capitalisation as per immediate previous Financial Year	Any other

## Annexure I

### Annexure I to be submitted by listed entity on quarterly basis

#### I. Composition of Board of Directors

Disclosure of notes on composition of board of directors explanatory

Whether the listed entity has a Regular Chairperson	Yes
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Whether Chairperson is related to MD or CEO	No
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Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth
1	Mr	Ramesh Shah	AASPS9513Q	00029864	Non-Executive - Independent Director	Chairperson		20-05-1958
2	Mr	Shekhar Singh	AAYPS9409B	00039567	Executive Director	Not Applicable	MD	29-05-1966
3	Mr	Sumit Choudhary	ABLPC0800G	02586702	Non-Executive - Non Independent Director	Not Applicable		11-03-1979
4	Mr	Sandeep Kumar	AXAPS8505K	02767062	Non-Executive - Non Independent Director	Not Applicable		30-11-1983
5	Mrs	Promila Bhardwaj	AADPB3674L	06428534	Non-Executive - Independent Director	Not Applicable		25-12-1954

### I. Composition of Board of Directors

### Disqualification of Directors under section 164 of the Companies Act, 2013

Sr	Whether the director is disqualified?	Start Date of disqualification	End Date of disqualification	Details of disqualification	Current status
1	No				Active
2	No				Active
3	No				Active
4	No				Active
5	No				Active

## I. Composition of Board of Directors

Sr	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity [with reference to proviso to regulation 17A(1) & 17A(2)]	Number of memberships in Audit/ Stakeholder Committee (s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN
1	NA		01-09-2017	01-09-2022		60	3	3	5	3		
2	NA		01-09-2016	14-02-2022		60	1	0	1	0		
3	NA		25-08-2009	26-09-2009			1	0	1	1		
4	NA		01-09-2017	26-09-2017			1	0	1	0		
5	NA		01-09-2017	01-09-2022		60	1	1	1	0		

### Audit Committee Details

Whether the Audit Committee has a Regular Chairperson					Yes			
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks	

1	00029864	Ramesh Shah	Non-Executive - Independent Director	Chairperson	01-09-2022
2	00039567	Shekhar Singh	Executive Director	Member	01-09-2017
3	06428534	Promila Bhardwaj	Non-Executive - Independent Director	Member	01-09-2022

### Nomination and remuneration committee

Whether the Nomination and remuneration committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	06428534	Promila Bhardwaj	Non-Executive - Independent Director	Chairperson	01-09-2022		
2	00029864	Ramesh Shah	Non-Executive - Independent Director	Member	01-09-2022		
3	02586702	Sumit Choudhary	Non-Executive - Non Independent Director	Member	01-09-2017		

### Stakeholders Relationship Committee

Whether the Stakeholders Relationship Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks

1	02586702	Sumit Choudhary	Non-Executive - Non Independent Director	Chairperson	01-09-2017
2	00029864	Ramesh Shah	Non-Executive - Independent Director	Member	01-09-2022
3	02767062	Sandeep Kumar	Non-Executive - Non Independent Director	Member	01-09-2017

### Risk Management Committee

Whether the Risk Management Committee has a Regular Chairperson							
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks

### Corporate Social Responsibility Committee

Whether the Corporate Social Responsibility Committee has a Regular Chairperson							
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks

### Other Committee

Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks
1	00039567	Shekhar Singh	Finance & Executive Committee	Executive Director	Chairperson	

2	02586702	Sumit Choudhary	Finance & Executive Committee	Non-Executive - Non Independent Director	Member
3	02767062	Sandeep Kumar	Finance & Executive Committee	Non-Executive - Non Independent Director	Member
4	00029864	Ramesh Shah	Security Committee	Non-Executive - Independent Director	Chairperson
5	00039567	Shekhar Singh	Security Committee	Executive Director	Member
6	02586702	Sumit Choudhary	Security Committee	Non-Executive - Non Independent Director	Member

## Annexure 1

### Annexure 1

### III. Meeting of Board of Directors

Disclosure of notes on meeting of board of directors explanatory

Sr	Date(s) of meeting (if any) in the previous quarter	Date(s) of meeting (if any) in the current quarter	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors as on date of the meeting	Number of Directors present* (All directors including Independent Director)	No. of Independent Directors attending the meeting*
1	10-08-2023				Yes	5	4	1
2		14-11-2023	95		Yes	5	5	2

## Annexure 1

### IV. Meeting of Committees

#### Disclosure of notes on meeting of committees explanatory

Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors in the Committee as on date of the meeting	Number of Directors Present (All Directors including Independent Director)	No. of Independent Directors attending the meeting*	No. of members attending the meeting (other than Board of Directors)
1	Audit Committee	10-08-2023				Yes	3	2	1	0
2	Audit Committee	14-11-2023	95			Yes	3	3	2	0
3	Other Committee	20-09-2023		Finance & Executive Committee		Yes	3	3	3	0

## Annexure 1

### V. Related Party Transactions

Sr	Subject	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	NA	
2	Whether shareholder approval obtained for material RPT	NA	

3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes
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## Annexure 1

VI. Affirmations		
Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 1000 listed entities)	NA
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes
9	Any comments/observations/advice of Board of Directors may be mentioned here:	Textual Information(1)

## Annexure 1



Sr	Subject	Compliance status
1	Name of signatory	Phul Jha
2	Designation	Company Secretary and Compliance Officer

### Text Block

Textual Information(1)	The report of the current quarter will be placed before the Board in the next Board Meeting. The report of the previous quarter has been placed before the Board and no comment/observation/advice received from them.
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### Details of Cyber security incidence

Whether as per Regulation 27(2)(ba) of SEBI (LODR) Regulations, 2015 there has been cyber security incidents or breaches or loss of data or documents during the quarter	No
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### Signatory Details

Name of signatory	Phul Jha
Designation of person	Company Secretary and Compliance Officer
Place	New Delhi
Date	31-12-2023