

| General information about company | |
|--|--|
| Scrip code | 541133 |
| NSE Symbol | |
| MSEI Symbol | |
| ISIN | INE758W01019 |
| Name of the company | Apex Capital and Finance Limited (Formerly known as Apex Home Finance Limited) |
| Whether company is SME | No |
| Class of Security | Equity Shares |
| Type of report | Quarterly |
| Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment) | 31-03-2021 |
| Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date | |
| Shareholding pattern filed under | Regulation 31 (1) (b) |
| Whether the listed entity is Public Sector Undertaking (PSU)? | No |

| Declaration | | | | | |
|-------------|--|--------|-----------------------------|--------------------|-------------------------|
| Sr. No. | Particular | Yes/No | Promoter and Promoter Group | Public shareholder | Non Promoter-Non Public |
| 1 | Whether the Listed Entity has issued any partly paid up shares? | No | No | No | No |
| 2 | Whether the Listed Entity has issued any Convertible Securities ? | No | No | No | No |
| 3 | Whether the Listed Entity has issued any Warrants ? | No | No | No | No |
| 4 | Whether the Listed Entity has any shares against which depository receipts are issued? | No | No | No | No |
| 5 | Whether the Listed Entity has any shares in locked-in? | No | No | No | No |
| 6 | Whether any shares held by promoters are pledge or otherwise encumbered? | No | No | | |
| 7 | Whether company has equity shares with differential voting rights? | No | No | No | No |
| 8 | Whether the listed entity has any significant beneficial owner? | No | | | |

| Table I - Summary Statement holding of specified securities | | | | | | | | | | | |
|---|--------------------------------|----------------------------|--|--|---|--|---|---|------------|---------|-------------------------|
| Category (I) | Category of shareholder (II) | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. Of Partly paid-up equity shares held (V) | No. Of shares underlying Depository Receipts (VI) | Total nos. shares held (VII) = (IV)+ (V)+ (VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) | | | |
| | | | | | | | | No of Voting (XIV) Rights | | | Total as a % of (A+B+C) |
| | | | | | | | | Class eg: X | Class eg:y | Total | |
| (A) | Promoter & Promoter Group | 7 | 4322448 | | | 4322448 | 73.01 | 4322448 | | 4322448 | 73.01 |
| (B) | Public | 100 | 1597530 | | | 1597530 | 26.99 | 1597530 | | 1597530 | 26.99 |
| (C) | Non Promoter-Non Public | | | | | | | | | | |
| (C1) | Shares underlying DRs | | | | | | | | | | |
| (C2) | Shares held by Employee Trusts | | | | | | | | | | |
| | Total | 107 | 5919978 | | | 5919978 | 100 | 5919978 | | 5919978 | 100 |

| Table I - Summary Statement holding of specified securities | | | | | | | | | | |
|---|--------------------------------|---|--|--|---|----------------------------------|---------------------------------|---|---------------------------------|---|
| Category (I) | Category of shareholder (II) | No. Of Shares Underlying Outstanding convertible securities (X) | No. of Shares Underlying Outstanding Warrants (Xi) | No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) |
| | | | | | | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | |
| (A) | Promoter & Promoter Group | | | | 73.01 | | | | | 4322448 |
| (B) | Public | | | | 26.99 | | | | | 862014 |
| (C) | Non Promoter-Non Public | | | | | | | | | |
| (C1) | Shares underlying DRs | | | | | | | | | |
| (C2) | Shares held by Employee Trusts | | | | | | | | | |
| | Total | | | | 100 | | | | | 5184462 |

| Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | | | | |
|---|---|----------------------------|--|--|---|--|---|---|------------|---------|-------------------------------------|
| Sr. | Category & Name of the Shareholders (I) | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. Of Partly paid-up equity shares held (V) | No. Of shares underlying Depository Receipts (VI) | Total nos. shares held (VII) = (IV)+(V)+(VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) | | | |
| | | | | | | | | No of Voting (XIV) Rights | | | Total as a % of Total Voting rights |
| | | | | | | | | Class eg: X | Class eg:y | Total | |
| A | Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | | | |
| (1) | Indian | | | | | | | | | | |
| (a) | Individuals/Hindu undivided Family | 2 | 1480368 | | | 1480368 | 25.01 | 1480368 | | 1480368 | 25.01 |
| (d) | Any Other (specify) | 5 | 2842080 | | | 2842080 | 48.01 | 2842080 | | 2842080 | 48.01 |
| Sub-Total (A) (1) | | 7 | 4322448 | | | 4322448 | 73.01 | 4322448 | | 4322448 | 73.01 |
| (2) | Foreign | | | | | | | | | | |
| Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2) | | 7 | 4322448 | | | 4322448 | 73.01 | 4322448 | | 4322448 | 73.01 |
| B | Table III - Statement showing shareholding pattern of the Public shareholder | | | | | | | | | | |
| (1) | Institutions | | | | | | | | | | |
| (3) | Non-institutions | | | | | | | | | | |
| (a(i)) | Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs. | 75 | 1359 | | | 1359 | 0.02 | 1359 | | 1359 | 0.02 |
| (a(ii)) | Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs. | 15 | 809872 | | | 809872 | 13.68 | 809872 | | 809872 | 13.68 |
| (e) | Any Other (specify) | 10 | 786299 | | | 786299 | 13.28 | 786299 | | 786299 | 13.28 |
| Sub-Total (B) (3) | | 100 | 1597530 | | | 1597530 | 26.99 | 1597530 | | 1597530 | 26.99 |
| Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3) | | 100 | 1597530 | | | 1597530 | 26.99 | 1597530 | | 1597530 | 26.99 |
| C | Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder | | | | | | | | | | |
| Total (A+B+C2) | | 107 | 5919978 | | | 5919978 | 100 | 5919978 | | 5919978 | 100 |
| Total (A+B+C) | | 107 | 5919978 | | | 5919978 | 100 | 5919978 | | 5919978 | 100 |

| Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | | |
|--|---|--|--|---|----------------------------------|---------------------------------|---|---------------------------------|---|
| Sr. | No. Of Shares Underlying Outstanding convertible securities (X) | No. of Shares Underlying Outstanding Warrants (Xi) | No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) |
| | | | | | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | |
| A | Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | |
| (1) | Indian | | | | | | | | |
| (a) | | | | 25.01 | | | | | 1480368 |
| (d) | | | | 48.01 | | | | | 2842080 |
| Sub-Total (A) (1) | | | | 73.01 | | | | | 4322448 |
| (2) | Foreign | | | | | | | | |
| Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2) | | | | 73.01 | | | | | 4322448 |
| B | Table III - Statement showing shareholding pattern of the Public shareholder | | | | | | | | |
| (1) | Institutions | | | | | | | | |
| (3) | Non-institutions | | | | | | | | |
| (a(i)) | | | | 0.02 | | | | | 264 |
| (a(ii)) | | | | 13.68 | | | | | 341431 |
| (e) | | | | 13.28 | | | | | 520319 |
| Sub-Total (B) (3) | | | | 26.99 | | | | | 862014 |
| Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3) | | | | 26.99 | | | | | 862014 |
| C | Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder | | | | | | | | |
| Total (A+B+C2) | | | | 100 | | | | | 5184462 |
| Total (A+B+C) | | | | 100 | | | | | 5184462 |

| Individuals/Hindu undivided Family | | | |
|--|------------|--------------------|---------------------------------------|
| Searial No. | 1 | 2 | |
| Name of the Shareholders (I) | SUCHITRA | SURENDER SINGH HUF | Click here to go back |
| PAN (II) | AISPS8706R | AANHS3313R | Total |
| No. of fully paid up equity shares held (IV) | 930588 | 549780 | 1480368 |
| No. Of Partly paid-up equity shares held (V) | | | |
| No. Of shares underlying Depository Receipts (VI) | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 930588 | 549780 | 1480368 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 15.72 | 9.29 | 25.01 |
| Number of Voting Rights held in each class of securities (IX) | | | |
| Class eg:X | 930588 | 549780 | 1480368 |
| Class eg:y | | | |
| Total | 930588 | 549780 | 1480368 |
| Total as a % of Total Voting rights | 15.72 | 9.29 | 25.01 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2) | 15.72 | 9.29 | 25.01 |
| Number of Locked in shares (XII) | | | |
| No. (a) | | | |
| As a % of total Shares held (b) | | | |
| Number of Shares pledged or otherwise encumbered (XIII) | | | |
| No. (a) | | | |
| As a % of total Shares held (b) | | | |
| Number of equity shares held in dematerialized form (XIV) | 930588 | 549780 | 1480368 |
| Reason for not providing PAN | | | |

| | | | |
|------------------------------|----------|----------|--|
| Reason for not providing PAN | | | |
| Shareholder type | Promoter | Promoter | |

| Any Other (specify) | | | | | | |
|--|---------------------------------------|-----------------------------------|---|---------------------------------------|-------------------------------------|-----------------------|
| Serial No. | 1 | 2 | 3 | 4 | 5 | |
| Category | Bodies Corporate | Bodies Corporate | Bodies Corporate | Bodies Corporate | Bodies Corporate | Click here to go back |
| Name of the Shareholders (I) | HILLGROW INFOSERVICES PRIVATE LIMITED | PALMTREE INFRACON PRIVATE LIMITED | VETERAN INFRADEVELOPERS PRIVATE LIMITED | FOSTER INFRASTRUCTURE PRIVATE LIMITED | INSCENCE DEVELOPERS PRIVATE LIMITED | |
| PAN (II) | AACCH1867R | AAECP9998H | AACCV9638A | AABCF3458P | AACCI0448K | Total |
| No. of the Shareholders (I) | 1 | 1 | 1 | 1 | 1 | 5 |
| No. of fully paid up equity shares held (IV) | 815520 | 605520 | 605520 | 605520 | 210000 | 2842080 |
| No. Of Partly paid-up equity shares held (V) | | | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 815520 | 605520 | 605520 | 605520 | 210000 | 2842080 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 13.78 | 10.23 | 10.23 | 10.23 | 3.55 | 48.01 |
| Number of Voting Rights held in each class of securities (IX) | | | | | | |
| Class eg: X | 815520 | 605520 | 605520 | 605520 | 210000 | 2842080 |
| Class eg:y | | | | | | |
| Total | 815520 | 605520 | 605520 | 605520 | 210000 | 2842080 |
| Total as a % of Total Voting rights | 13.78 | 10.23 | 10.23 | 10.23 | 3.55 | 48.01 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 13.78 | 10.23 | 10.23 | 10.23 | 3.55 | 48.01 |
| Number of Locked in shares (XII) | | | | | | |
| No. (a) | | | | | | |
| As a % of total Shares held (b) | | | | | | |
| Number of Shares pledged or otherwise encumbered (XIII) | | | | | | |
| No. (a) | | | | | | |

| | | | | | | |
|---|----------------|----------------|----------------|----------------|----------------|---------|
| As a % of total Shares held (b) | | | | | | |
| Number of equity shares held in dematerialized form (XIV) | 815520 | 605520 | 605520 | 605520 | 210000 | 2842080 |
| Reason for not providing PAN | | | | | | |
| Reason for not providing PAN | | | | | | |
| Shareholder type | Promoter Group | Promoter Group | Promoter Group | Promoter Group | Promoter Group | |

| Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs. | | | |
|--|----------------|--------------|---------------------------------------|
| Serial No. | 1 | 2 | |
| Name of the Shareholders (I) | YOGENDER KUMAR | BASANTI DEVI | Click here to go back |
| PAN (II) | AMYPK7580P | AHEPD7992H | Total |
| No. of fully paid up equity shares held (IV) | 200565 | 73560 | 274125 |
| No. Of Partly paid-up equity shares held (V) | | | |
| No. Of shares underlying Depository Receipts (VI) | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 200565 | 73560 | 274125 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 3.39 | 1.24 | 4.63 |
| Number of Voting Rights held in each class of securities (IX) | | | |
| Class eg: X | 200565 | 73560 | 274125 |
| Class eg:y | | | |
| Total | 200565 | 73560 | 274125 |
| Total as a % of Total Voting rights | 3.39 | 1.24 | 4.63 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 3.39 | 1.24 | 4.63 |
| Number of Locked in shares (XII) | | | |
| No. (a) | | | |
| As a % of total Shares held (b) | | | |
| Number of equity shares held in dematerialized form (XIV) | 200565 | 0 | 200565 |
| Reason for not providing PAN | | | |
| Reason for not providing PAN | | | |

| Any Other (specify) | | | | | | |
|--|--|--|--|--|--|---------------------------------------|
| Serial No. | 1 | 2 | 3 | 4 | 5 | |
| Category | Bodies Corporate | HUF | HUF | HUF | HUF | |
| Category / More than 1 percentage | More than 1 percentage of shareholding | More than 1 percentage of shareholding | More than 1 percentage of shareholding | More than 1 percentage of shareholding | More than 1 percentage of shareholding | |
| Name of the Shareholders (I) | GREENTECH COLONIZERS PRIVATE LIMITED | PAWAN SINGH (HUF) | PARVEEN KUMAR(HUF) | YOGENDER SINGH(HUF) | SHAMSHER SINGH(HUF) | Click here to go back |
| PAN (II) | AAFCG4014J | AAHHP2087F | AAHHP2115A | AAAHY4544D | AANHS2947F | Total |
| No. of the Shareholders (I) | 1 | 1 | 1 | 1 | 1 | 0 |
| No. of fully paid up equity shares held (IV) | 126990 | 157610 | 138564 | 136260 | 87880 | 0 |
| No. Of Partly paid-up equity shares held (V) | | | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 126990 | 157610 | 138564 | 136260 | 87880 | 0 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 2.15 | 2.66 | 2.34 | 2.3 | 1.48 | 0 |
| Number of Voting Rights held in each class of securities (IX) | | | | | | |
| Class eg: X | 126990 | 157610 | 138564 | 136260 | 87880 | 0 |
| Class eg:y | | | | | | |
| Total | 126990 | 157610 | 138564 | 136260 | 87880 | 0 |
| Total as a % of Total Voting rights | 2.15 | 2.66 | 2.34 | 2.3 | 1.48 | 0 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 2.15 | 2.66 | 2.34 | 2.3 | 1.48 | 0 |
| Number of Locked in shares (XII) | | | | | | |
| No. (a) | | | | | | |
| As a % of total Shares held (b) | | | | | | |
| Number of equity shares held in | 0 | 157610 | 138564 | 136260 | 87880 | 0 |

| | | | | | | |
|------------------------------|--|--|--|--|--|--|
| dematerialized form (XIV) | | | | | | |
| Reason for not providing PAN | | | | | | |
| Reason for not providing PAN | | | | | | |

Sr. No. Details of the SBO Details of the registered owner Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*: Date of creation / acquisition of significant beneficial interest Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Whether by virtue of: Shares Voting rights Rights on distributable dividend or any other distribution Exercise of control Exercise of significant influence