

# APEX CAPITAL AND FINANCE LIMITED

(Formerly Apex Home Finance Limited)



22<sup>nd</sup> April, 2019

To

The Listing Department,  
Bombay Stock Exchange,  
Pheeroze Jeejeebhoy Towers,  
Dalal Street, Mumbai- 400001.

**Scrip Code:** 541133

**Subject:** Reconciliation of Share Capital Audit Report under Regulation 76 of SEBI (Depositories and Participants) Regulations, 2018 (previously Regulation 55A of SEBI (Depositories and Participants) Regulations, 1996) for the quarter ended on 31<sup>st</sup> March, 2019 in respect of Apex Capital and Finance Limited ("the Company").

Dear Sir,

Pursuant to Regulation 76 of SEBI (Depositories and Participants) Regulations, 2018 (previously Regulation 55A of SEBI (Depositories and Participants) Regulations, 1996), we are enclosing herewith the Reconciliation of Share Capital Audit Report of the Company for the quarter ended on 31<sup>st</sup> March, 2019.

This is for your kind information & record please.

Thanking you,

Yours faithfully,

For Apex Capital and Finance Limited

  
(Phul Jha)

Company Secretary  
& Compliance Officer



CIN-L65910DL1985PLC021241

Regd. Office: L-3, Green Park Extension, New Delhi-110016

Telefax: +91 11 40348775

E-mail: [contact@apexfinancials.in](mailto:contact@apexfinancials.in) Website : [www.apexfinancials.in](http://www.apexfinancials.in)

To,

**The Board of Directors**  
**M/s Apex Capital and Finance Limited**  
**L-3, Green Park Extension, New Delhi-110 016.**

**RECONCILIATION OF SHARE CAPITAL AUDIT**

We have examined the Register of Members and other registers, records, books & papers of **M/s Apex Capital and Finance Limited ("the Company")** as required to be maintained by the Company & Share Transfer Agent, under the Companies Act, 2013, read with the Depositories Act, 1996 and the Rules made thereunder and also various directives issued by the Securities and Exchange Board of India (SEBI), in particular Circular No. D&CC/FITTC/CIR-16/2002 dated 31<sup>st</sup> December, 2002 issued by SEBI and specific formats provided by Stock Exchange, for the quarter ended on 31.03.2019.

In my opinion and to the best of my knowledge and according to the information and examinations carried out by me and explanations furnished to me by the Company, its officers and agents, we report that in respect of the foresaid period:

1.	For Quarter Ended	March 31, 2019	
2.	ISIN	INE758W01019	
3.	Face Value : Equity Shares	Rs.10/- per share	
4.	Name of the Company	Apex Capital and Finance Limited	
5.	Registered Office Address	L-3, Green Park Extension, New Delhi - 110016	
6.	Correspondence Address	L-3, Green Park Extension, New Delhi - 110016	
7.	Telephone No. Fax No.	011-40348775 011-40348775	
8.	E-mail Address	contact@apexfinancials.in	
9.	Name(s) of the Stock Exchanges where the Company's securities are listed	1. BSE Limited	
		<b>Number of Shares</b>	<b>% of Total Issued Capital</b>
10.	Issued Capital: Equity Capital	59,19,978	100.00
11.	Listed Capital (Exchange wise) 1. BSE Limited	59,19,978	100.00
12.	No. of Shares held in dematerialized form in CDSL	43,69,496	73.81
13.	No. of Shares held in dematerialized form in NSDL	8,14,966	13.77
14.	Physical	7,35,516	12.42
15.	Total No. of Shares(12+13+14)	59,19,978	100.00



16.	Reason for difference, if any, between (10 & 11)		N.A.		
	Reason for difference, if any, between (10 & 15)		N.A.		
	Reason for difference, if any, between (11 & 15)		N.A.		
17.	Certifying the details of changes in share capital during the quarter under consideration as per table below:				
Particulars#	No. of Shares	Applied/ Not Applied for listing	Listed on Stock Exchanges (Specify Names)	Whether intimated to NSDL/ CDSL	In-principal approval pending for SE (Specify Names)
N.A.	N.A.	N.A.	N.A.	N.A.	N.A.
# Rights, Bonus, Preferential Issue, ESOPs, Amalgamation, Conversion, Buy-back, Capital Reduction, Forfeiture, Any other (to specify)					
18.	Register of Members is updated (Yes/No) If not, updated up to which date		Yes		
19.	Reference of previous quarter with regards to excess dematerialized share, if any		N.A.		
20.	Has the Company resolved the matter mentioned in point no. 19 above in the current quarter? If not, reason why?		N.A.		
21.	Mention the total no. of requests, if any, confirmed/rejected after 21 days and the total no. of requests pending beyond 21 days with the reasons for delay:				
<b>Total No. of de-mat requests</b>		<b>No. of requests</b>	<b>No. of Shares</b>	<b>Reasons for delay</b>	
Confirmed/Rejected after 21 days		NIL	NIL	N.A.	
Pending for more than 21 days		NIL	NIL	N.A.	
22.	Name, Telephone & Fax No. of the Compliance Officer of the Company		Mr. Phul Jha Company Secretary & Compliance Officer Tel No: 011-40348775		
23.	Name, Address, Telephone & Fax No. of the Practising Company Secretary		<b>Name:</b> M/s S. Behera & Co., Company Secretaries <b>Address:</b> S-1/3 & 4, First Floor, Near Uphaar Cinema, Green Park Extn., New Delhi-110016 <b>Tel No:</b> 011-41731103		
24.	Appointment of common agency for share registry work, if yes, name & address		Skyline Financial Services Private Limited D-153A, 1st Floor, Okhla Industrial Area, Phase I, New Delhi-110020. Tel: 011-41044923.		



25.	Any other detail that the Secretarial Auditor may like to provide (e.g. BIFR company, delisting from SE, Company changed its name, etc.)	The company has changed its registered office to L-3 Green Park Extension, New Delhi 110 016 w.e.f 28.03.2019. The company is a registered NBFC.
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For **S. Behera & Co.**  
**Company Secretaries**

**Shesdev Behera**

Designation-Proprietor

ICSI Membership No.: FCS-8428

CP No.: 5980



Date: April 20, 2019

Place: New Delhi