

APEX CAPITAL AND FINANCE LIMITED

(Formerly Apex Home Finance Limited)



22nd March, 2019

The Listing Department,
Bombay Stock Exchange,
Pheeroze Jeejeebhoy Towers,
Dalal Street, Mumbai- 400001.

Scrip Code: 541133

Subject: Certificate under Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended on 31st March, 2019.

Dear Sir,

We are enclosing herewith Certificate under Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended on 31st March, 2019.

This is for your kind information & record please.

Thanking you,

Yours faithfully,

For Apex Capital and Finance Limited


(Phil Jha)
Company Secretary
& Compliance Officer



Encl.: As above

CERTIFICATE UNDER REGULATION 40(9) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA
(LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015
FOR THE HALF YEAR ENDED ON 31ST MARCH, 2019

We have examined Share Transfer deeds, Memorandum of Transfers, Registers, files & other documents relating to Apex Capital and Finance Limited (CIN: U659100L1985PLC021241), (the Company) maintained by Skyline Financial Services Private Limited, Registrar and Share Transfer Agents pertaining to transfer of equity shares of the company for the period from 01ST October, 2018 to 31ST March, 2019 (the Period) for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company, we hereby certify that:

- A. 1. (a) the Company has delivered during the half year ended on 31st March, 2019 Share/Debenture Certificate(s) relating to the Transfer of Shares/Debentures received during the period from 01ST October, 2018 to 31ST March, 2019, within fifteen days, as entered in the Memorandum of Transfers from the respective date of lodgment of each deed excepting those rejected on technical grounds;
1. (b) the Company has delivered during the half year ended on 31ST March, 2019 Share/Debenture Certificate(s) in respect of requests relating to sub-division (split), renewal and consolidation received during the period from 01ST October, 2018 to 31ST March, 2019 within fifteen days, as entered in the Memorandum of Transfers from the respective date of lodgment of each deed excepting those rejected on technical grounds. As per the records made available to us there were no requests relating to exchange or endorsement of calls/allotment monies received during the said period;
- B. Any other information, if applicable: - Nil

For S. Behera & Co.
Company Secretaries

(Shesdev Behera)
C.P. No. 5980



Date: 20.04.2019
Place: New Delhi